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Patricia Ellison

Patricia Ellison

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Thomas INNERARITY and Jan BOREN

Serial No.: 09/823,418

Filing Date: March 29, 2001

For: METHODS AND TOOLS FOR
IDENTIFYING COMPOUNDS WHICH
MODULATE ATHEROSCLEROSIS BY
IMPACTING LDL-PROTEOGLYCAN
BINDING

Examiner: To Be Assigned

Group Art Unit: 1641

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INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/265,222, filed March 5, 1999, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. § 1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

Documents 21, 28, 33, 44, 70, and 79, listed on the attached Form PTO-1449 were cited in a Search Report (copy attached) directed to a counterpart international or foreign application.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.


Applicants would appreciate the Examiner's initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 220002059711. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

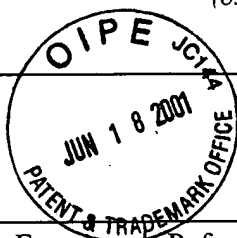
Dated: June 12, 2001

Respectfully submitted,

By: 
Paul S. Naik
Limited Recognition Under 37 CFR
§10.9(b)
(copy of certificate attached)

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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number 220002059711	Application Number 09/823,418
	Applicant Thomas INNERARITY and Jan BOREN	
	Filing Date March 29, 2001	Group Art Unit 1641
	Mailing Date June 12, 2001	



U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	07/28/1987	4,683,195	Mullis et al.			
	2.	07/28/1987	4,683,202	Mullis			
	3.	08/18/1992	5,139,941	Muzyczka et al.			
	4.	09/27/1994	5,350,671	Houghton et al.			
	5.	03/21/1995	5,399,346	Anderson et al.			
	6.	12/03/1996	5,580,859	Felgner et al.			
	7.	08/12/1997	5,656,465	Panicali et al.			
	8.	09/30/1997	5,672,344	Kelley et al.			
	9.	12/22/1998	5,851,529	Guber et al.			

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FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES	NO
	10.	09/13/1984	WO 84/03506	PCT				
	11.	09/13/1984	WO 84/03564	PCT				
	12.	02/09/1995	WO 95/04064	PCT				
	13.	12/10/1986	0 200 362 B1	Europe				
	14.	12/17/1986	0 201 184 B1	Europe				
	15.	07/22/1987	0 229 701 B1	Europe				

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	16.	<u>Antibodies: A Laboratory Manual.</u> (1988) Harlow, E. and D. Lane, Eds. (Table of Contents).
	17.	Arnold, K. et al., (1992). "Lipoprotein-Receptor Interactions" in <u>Lipoprotein Analysis. A Practical Approach.</u> Converse, C. A. and E. R. Skinner, Eds. Oxford University Press: Oxford. pp. 145-168.

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Form PTO-144 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)	Docket Number 220002059711	Application Number 09/823,418
	Applicant Thomas INNERARITY and Jan BOREN	
	Filing Date March 29, 2001	Group Art Unit 1641
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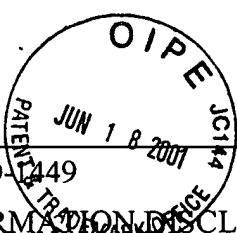
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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)	Docket Number 220002059711	Application Number 09/823,418
	Applicant Thomas INNERARITY and Jan BOREN	
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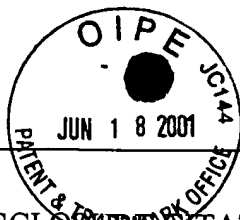
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Form PTO-1449

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Docket Number 220002059711

Application Number 09/823,418

Applicant

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**TRANSMITTAL
FORM**

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/823,418	
	Filing Date	March 29, 2001	
	First Named Inventor	Thomas INNERARITY	
	Group Art Unit	1641	
	Examiner Name	To Be Assigned	
Total Number Of Pages In This Submission	12	Attorney Docket No.	220002059711

ENCLOSURES (check all that apply)

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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Form PTO-1449 - 5 pages
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Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018
Signature	Paul S. Naik (Limited Recognition Under 37 CFR §10.9(b), copy of certificate attached)
Date	June 12, 2001

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on June 12, 2001.

Patricia Ellison

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.